

# NOTICE OF RESCISSION

## **RESCINDED: RESOLUTIONS 2016-0321 & (HA) 2016-0020**

Adopted by the City Council (2016-0321)  
& the Housing Authority (HA 2016-0020)

September 13, 2016

**Approving and Adopting the Sacramento Housing and Agency Conflict of Interest Code and List of Designated Positions and Disclosure Categories**

## **SUPERSEDED BY: RESOLUTIONS 2018-0264 & (HA) 2018-0008**

Adopted by the City Council (2018-0264)  
& the Housing Authority (HA 2018-0008)

June 26, 2018

**Approving and Adopting the Sacramento Housing and Redevelopment Agency Conflict of Interest Code and List of Designated Positions and Disclosure Categories**

### **Reference Table of Historic Legislative Document(s) *(if applicable)*:**

Leg Doc Type/Gov Body	Document Number	Date of Adoption	Date Rescinded	Superseding Doc
HA Resolution	2016-0020	9/13/2016	6/26/2018	HA 2018-0008
Council Resolution	2016-0321	9/13/2016	6/26/2018	2018-0264
HA and Council Resolutions	HA 2014-0012 & Council 2014-0235	7/15/2014	9/13/2016	HA 2016-0020 & Council 2016-0321
<b>Council Minutes for all historic/associated Resolutions</b>	<b>1/25/1983 – 1/31/1984 - 12/09/1986 – 3/10/1987 – 4/5/1988 – 4/10/1990 – 3/2/1991 – 3/3/1992 – 3/29/1994 – 11/19/1996 – 1/16/2001 – 11/10/2009 - 7/15/2014 - 9/13/2016 - 6/26/2018</b>			

## **RESOLUTION NO. 2016-0020**

**Adopted by the Housing Authority of the City of Sacramento**

September 13, 2016

### **APPROVING AND ADOPTING THE SACRAMENTO HOUSING AND REDEVELOPMENT AGENCY CONFLICT OF INTEREST CODE AND LIST OF DESIGNATED POSITIONS AND DISCLOSURE CATEGORIES**

#### **BACKGROUND**

- A. The Political Reform Act, Government Code §81000 et seq., requires every state or local government agency to adopt and promulgate a conflict of interest code.
- B. The County of Sacramento is the code-reviewing body for the Sacramento Housing and Redevelopment Agency (Agency), but the Housing Authority, as a member of the joint powers agency retains jurisdiction to approve revisions to Agency policy.
- C. The Fair Political Practices Commission has adopted a regulation, 2 Cal. Code of Regulations Section 18730, which contains the terms of a standard model conflict of interest code, which can be incorporated by reference, and which will be amended by the Fair Political Practices Commission to conform to any amendments in the Political Reform Act after public notice and hearing pursuant to the Administrative Procedures Act, Government Code Sections 11370, et seq.
- D. The Political Reform Act requires that every local government agency review its conflict of interest code periodically to determine if it is accurate or needs to be amended.
- E. All persons holding designated positions file annual statements of economic interests with the Agency Clerk, who is the filing officer with respect to these statements. The statements will be made available for public inspection and reproduction. Statements for all designated positions are retained by the Agency Clerk.
- E. The recommended activity is not a project under the California Environmental Quality Act (CEQA) 15378(b) (5) and no environmental review is required.

- F. The recommended action is an exempt activity under the National Environmental Policy Act (NEPA), 24 CFR 58.34(a) (3).

**BASED ON THE FACTS SET FORTH IN THE BACKGROUND, THE HOUSING AUTHORITY RESOLVES AS FOLLOWS:**

- Section 1. The Background facts, above including the environmental facts, are found to be true and correct.
- Section 2. Prior Housing Authority Resolutions adopted related to the Agency conflict of interest code (resolutions HA 83-005, HA 84-003, HA 86-032, HA 87-006, HA 88-014, HA 90-009, HA 91-006, HA 92-004, HA 94-002, HA 96-009, HA 2001-001, HA 2009-016, HA 2011-007, and HA 2014-0012) are hereby repealed.
- Section 3. The updated Conflict of Interest Code for the Sacramento Housing and Redevelopment Agency, Designated Positions and Disclosure Categories, attached as Exhibit A, are hereby approved.
- Section 4. The terms of 2 Cal. Code of Regulations Section 18730, and amendments to that section which may be duly adopted by the Fair Political Practices Commission, included as Exhibit A, is hereby adopted by reference as the body of the Conflict of Interest Code for the Sacramento Housing and Redevelopment Agency and of the Housing Authority of the City of Sacramento.
- Section 5. This adopted and amended conflict of interest code is effective immediately, and newly added designees are required to file within 30 days of the effective date of the Conflict of Interest Code.

**Table of Contents:**

Exhibit A - Agency Conflict of Interest Code, Designated Positions and Disclosure Categories

Adopted by the Housing Authority of the City of Sacramento on September 13, 2016, by the following vote:

Ayes: Members Ashby, Carr, Guerra, Hansen, Harris, Jennings, Warren and Mayor Johnson

Noes: None

Abstain: None

Absent: Member Schenirer

Attest:

**Shirley Concolino**

Digitally signed by Shirley Concolino  
DN: cn=Shirley Concolino, o=City of Sacramento, ou=City  
Clerk, email=sconcolino@cityofsacramento.org, c=US  
Date: 2016.09.27 12:53:42 -07'00'

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Shirley Concolino, Secretary

**SACRAMENTO HOUSING AND REDEVELOPMENT AGENCY DISCLOSURE CATEGORIES - updated 9-13-2016**

**Category 1:**

- a. Interest in real property located within the City and County of Sacramento (excluding your primary residence [owned or leased]);
- b. All income, loans and gifts, investments, positions and ownership interests in any business located in or doing business in the City or County of Sacramento and/or doing business with any entity administered by the Sacramento Housing and Redevelopment Agency; and
- c. All sources of income, loans and gifts from individuals residing in the City or County of Sacramento, doing business in the City or County of Sacramento and/or doing business with any entity administered by the Sacramento Housing and Redevelopment Agency.

**Category 2:**

- a. Interest in real property located within the City and County of Sacramento, (excluding your primary residence [owned or leased]);
- b. Income, loans and gifts, investments, positions and ownership interest in any business with any entity administered by the Sacramento Housing and Redevelopment Agency and/or doing business which relates to the types of activities carried on by these entities (e.g., real property construction and management, material and supplies for real property maintenance and construction, general office supplies and services, consulting and . services relating to all property development and management businesses located in or near Redevelopment Project Areas, Community Development Target Areas or Agency-owned housing developments); and
- c. All sources of income, loans and gifts from individuals residing in the City or County of Sacramento, doing business in the City or County of Sacramento and/or doing business with any entity administered by the Sacramento Housing and Redevelopment Agency.

**Category 3:**

- a. Interest in any real property improved for residential purposes or zoned for residential use

located within the City or County of Sacramento ([excluding your primary residence \[owned or leased\]](#));

- b. Income, loans and gifts, investments, positions and ownership interest in any business with any entity administered by the Sacramento Housing and Redevelopment Agency and/or doing business which relates to the types of activities carried on by these entities (e.g., real property construction and management, material and supplies for real property maintenance and construction, general office supplies and services, consulting and services relating to all property development and management businesses located in or near Redevelopment Project Areas, Community Development Target Areas or Agency-owned housing developments); [and](#)
- c. All sources of income, loans and gifts from individuals residing in the City or County of Sacramento, doing business in the City or County of Sacramento and/or doing business with any entity administered by the Sacramento Housing and Redevelopment Agency.

**Category 4:**

- a. Income, loans and gifts, investments, positions and ownership interest in any business with any entity administered by the Sacramento Housing and Redevelopment Agency and/or doing business which relates to the types of activities carried on by these entities (e.g., real property construction and management, material and supplies for real property maintenance and construction, general office supplies and services, consulting and services relating to all property development and management businesses located in or near Redevelopment Project Areas, Community Development Target Areas or Agency-owned housing developments); [and](#)
- b. All sources of income, loans and gifts from individuals residing in the City of County of Sacramento, doing business in the City or County of Sacramento and/or doing business with any entity administered by the Sacramento Housing and Redevelopment Agency Sacramento Housing and Redevelopment Agency (Agency) Conflict of Interest Code (Regulations of the Fair Political Practices Commission, Title 2, Division 6, California Code of Regulations.).

## **Sacramento Housing and Redevelopment Agency (Agency) Conflict of Interest Code – updated 9-13-2016**

§ 18730. Provisions of Conflict of Interest Codes.

(a) Incorporation by reference of the terms of this regulation along with the designation of employees and the formulation of disclosure categories in Exhibit A referred to below constitute the adoption and promulgation of a conflict of interest code within the meaning of Government Code section 87300 or the amendment of a conflict of interest code within the meaning of Government Code section 87306 if the terms of this regulation are substituted for terms of a conflict of interest code already in effect. A code so amended or adopted and promulgated requires the reporting of reportable items in a manner substantially equivalent to the requirements of article 2 of chapter 7 of the Political Reform Act, Government Code sections 81000, et seq. The requirements of a conflict of interest code are in addition to other requirements of the Political Reform Act, such as the general prohibition against conflicts of interest contained in Government Code section 87100, and to other state or local laws pertaining to conflicts of interest. Therefore the terms of 2 California Code of Regulations [\(CCR\)](#) Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Exhibits designating positions and establishing disclosure requirements shall constitute the conflict of interest code of the Sacramento Housing and Redevelopment Agency.

(b) The terms of a conflict of interest code amended or adopted and promulgated pursuant to this regulation are as follows:

(1) Section 1. Definitions.

The definitions contained in the Political Reform Act of 1974, regulations of the Fair Political Practices Commission (2 Cal. Code of Regs. sections 18110, et seq.), and any amendments to the Act or regulations, are incorporated by reference into this conflict of interest code.

(2) Section 2. Designated Employees.

The persons holding positions listed in ~~the~~ Exhibit B are designated employees. It has been determined that these persons make or participate in the making of decisions which may foreseeably have a material effect on economic interests.

(3) Section 3. Disclosure Categories.

This code does not establish any disclosure obligation for those designated employees who are also specified in Government Code section 87200 if they are designated in this code in that same capacity or if the geographical jurisdiction of this agency is the same as or is wholly included within the jurisdiction in which those persons must report their economic interests pursuant to article 2 of chapter 7 of the Political Reform Act, Government Code sections 87200, et seq.

In addition, this code does not establish any disclosure obligation for any designated employees who are designated in a conflict of interest code for another agency, if all of the following apply:

(A) The geographical jurisdiction of this agency is the same as or is wholly included within the jurisdiction of the other agency;

(B) The disclosure assigned in the code of the other agency is the same as that required under article 2 of chapter 7 of the Political Reform Act, Government Code section 87200; and

(C) The filing officer is the same for both agencies.

Such persons are covered by this code for disqualification purposes only. With respect to all other designated employees, the disclosure categories set forth in ~~the~~ Exhibit A specify which kinds of economic interests are reportable. Such a designated employee shall disclose in his or her statement of economic interests those economic interests he or she has which are of the kind described in the disclosure categories to which he or she is assigned in Exhibit A. It



has been determined that the economic interests set forth in a designated employee's disclosure categories are the kinds of economic interests which he or she foreseeably can affect materially through the conduct of his or her office.

(4) Section 4. Statements of Economic Interests: Place of Filing.

Individuals holding designated positions at the Agency shall file their statements of economic interest with the Agency Clerk who make the statements available for public inspection and reproduction (Govt Code Sec. 81008).

      Consultants/New Positions are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation: The Executive Director or designee may determine in writing that a particular consultant or new position, although a "designated position" is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements of this section. Such written determination shall include a description of the consultant's or new positions duties and, based on up that description, a statement of the extent of disclosure requirements. The written determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code Section 81008).

(5) Section 5. Statements of Economic Interests: Time of Filing.

(A) Initial Statements. All designated employees employed by the agency on the effective date of this code, as originally adopted, promulgated and approved by the code reviewing body, shall file statements within 30 days after the effective date of this code. Thereafter, each person already in a position when it is designated by an amendment to this code shall file an initial statement within 30 days after the effective date of the amendment.

(B) Assuming Office Statements. All persons assuming designated positions after the effective date of this code shall file statements within 30 days after assuming the designated

positions, or if subject to State Senate confirmation, 30 days after being nominated or appointed.

(C) Annual Statements. All designated employees shall file statements no later than April 1st.

(D) Leaving Office Statements. All persons who leave designated positions shall file statements within 30 days after leaving office.

(5.5) Section 5.5. Statements for Persons Who Resign Prior to Assuming Office.

Any person who resigns within 12 months of initial appointment, or within 30 days of the date of notice provided by the filing officer to file an assuming office statement, is not deemed to have assumed office or left office, provided he or she did not make or participate in the making of, or use his or her position to influence any decision and did not receive or become entitled to receive any form of payment as a result of his or her appointment. Such persons shall not file either an assuming or leaving office statement.

(A) Any person who resigns a position within 30 days of the date of a notice from the filing officer shall do both of the following:

(1) File a written resignation with the appointing power; and

(2) File a written statement with the filing officer declaring under penalty of perjury that during the period between appointment and resignation he or she did not make, participate in the making, or use the position to influence any decision of the agency or receive, or become entitled to receive, any form of payment by virtue of being appointed to the position.

(6) Section 6. Contents of and Period Covered by Statements of Economic Interests.

\_\_\_\_ (A) Contents of Initial Statements.

Initial statements shall disclose any reportable investments, interests in real property and business positions held on the effective date of the code and income received during the 12 months prior to the effective date of the code.

(B) Contents of Assuming Office Statements.

Assuming office statements shall disclose any reportable investments, interests in real property and business positions held on the date of assuming office or, if subject to State Senate confirmation or appointment, on the date of nomination, and income received during the 12 months prior to the date of assuming office or the date of being appointed or nominated, respectively.

(C) Contents of Annual Statements. Annual statements shall disclose any reportable investments, interests in real property, income and business positions held or received during the previous calendar year provided, however, that the period covered by an employee's first annual statement shall begin on the effective date of the code or the date of assuming office whichever is later, or for a board or commission member subject to Government Code section 87302.6, the day after the closing date of the most recent statement filed by the member pursuant to 2 Cal. Code Regs. Section 18754.

(D) Contents of Leaving Office Statements.

Leaving office statements shall disclose reportable investments, interests in real property, income and business positions held or received during the period between the closing date of the last statement filed and the date of leaving office.

(7) Section 7. Manner of Reporting.

Statements of economic interests shall be made on forms prescribed by the Fair Political Practices Commission and supplied by the agency, and shall contain the following information:

(A) Investment and Real Property Disclosure.

When an investment or an interest in real property is required to be reported, the statement shall contain the following:

~~(B) Contents of Assuming Office Statements.~~

~~Assuming office statements shall disclose any reportable investments, interests in real property and business positions held on the date of assuming office or, if subject to State Senate confirmation or appointment, on the date of nomination, and income received during the 12 months prior to the date of assuming office or the date of being appointed or nominated, respectively.~~

~~(C) Contents of Annual Statements. Annual statements shall disclose any reportable investments, interests in real property, income and business positions held or received during the previous calendar year provided, however, that the period covered by an employee's first annual statement shall begin on the effective date of the code or the date of assuming office whichever is later, or for a board or commission member subject to Government Code section 87302.6, the day after the closing date of the most recent statement filed by the member pursuant to 2 Cal. Code Regs. Section 18754.~~

~~(D) Contents of Leaving Office Statements.~~

~~Leaving office statements shall disclose reportable investments, interests in real property, income and business positions held or received during the period between the closing date of the last statement filed and the date of leaving office.~~

~~(7) Section 7. Manner of Reporting.~~

~~Statements of economic interests shall be made on forms prescribed by the Fair Political Practices Commission and supplied by the agency, and shall contain the following information:~~

~~(A) Investment and Real Property Disclosure.~~

~~When an investment or an interest in real property is required to be reported, the statement shall contain the following:~~

1. A statement of the nature of the investment or interest;
2. The name of the business entity in which each investment is held, and a general \_\_\_\_\_ description of the business activity in which the business entity is engaged;
3. The address or other precise location of the real property;
4. A statement whether the fair market value of the investment or interest in real property equals or exceeds two thousand dollars (\$2,000), exceeds ten thousand dollars (\$10,000), exceeds one hundred thousand dollars (\$100,000), or exceeds one million dollars (\$1,000,000).

(B) Personal Income Disclosure. When personal income is required to be reported, the statement shall contain:

1. The name and address of each source of income aggregating five hundred dollars \_\_\_\_\_ (\$500) or more in value, or fifty dollars (\$50) or more in value if the income was a gift, and a \_\_\_\_\_ general description of the business activity, if any, of each source;
2. A statement whether the aggregate value of income from each source, or in the case \_\_\_\_\_ of a loan, the highest amount owed to each source, was one thousand dollars (\$1,000) or less, \_\_\_\_\_ greater than one thousand dollars (\$1,000), greater than ten thousand dollars (\$10,000), or \_\_\_\_\_ greater than one hundred thousand dollars (\$100,000);
3. A description of the consideration, if any, for which the income was received;
4. In the case of a gift, the name, address and business activity of the donor and any \_\_\_\_\_ intermediary through which the gift was made; a description of the gift; the amount or value of \_\_\_\_\_ the gift; and the date on which the gift was received;
5. In the case of a loan, the annual interest rate and the security, if any, given for the \_\_\_\_\_ loan and the term of the loan.

(C) Business Entity Income Disclosure. When income of a business entity, including \_\_\_\_\_ income of a sole proprietorship, is required to be reported, the statement shall contain:

1. The name, address, and a general description of the business activity of the business entity;

2. The name of every person from whom the business entity received payments if the filer's pro rata share of gross receipts from such person was equal to or greater than ten thousand dollars (\$10,000).

(D) Business Position Disclosure. When business positions are required to be reported, a designated employee shall list the name and address of each business entity in which he or she is a director, officer, partner, trustee, employee, or in which he or she holds any position of management, a description of the business activity in which the business entity is engaged, and the designated employee's position with the business entity.

(E) Acquisition or Disposal During Reporting Period. In the case of an annual or leaving office statement, if an investment or an interest in real property was partially or wholly acquired or disposed of during the period covered by the statement, the statement shall contain the date of acquisition or disposal.

(8) Section 8. Prohibition on Receipt of Honoraria.

(A) No member of a state board or commission, and no designated employee of a state or local government agency, shall accept any honorarium from any source, if the member or employee would be required to report the receipt of income or gifts from that source on his or her statement of economic interests. This section shall not apply to any part-time member of the governing board of any public institution of higher education, unless the member is also an elected official.

Subdivisions (a), (b), and (c) of Government Code Section 89501 shall apply to the prohibitions in this section.

This section shall not limit or prohibit payments, advances, or reimbursements for travel and related lodging and subsistence authorized by Government Code section 89506.

(8.1) Section 8.1. Prohibition on Receipt of Gifts in Excess of \$~~420~~460.

(A) No member of a state board or commission, and no designated employee of a state or local government agency, shall accept gifts with a total value of more than \$~~420~~460 in a calendar year from any single source, if the member or employee would be required to report the receipt of income or gifts from that source on his or her statement of economic interests. This section shall not apply to any part-time member of the governing board of any public institution of higher education, unless the member is also an elected official.

Subdivisions (e), (f), and (g) of Government Code section 89503 shall apply to the prohibitions in this section.

(8.2) Section 8.2. Loans to Public Officials.

(A) No elected officer of a state or local government agency shall, from the date of his or her election to office through the date that he or she vacates office, receive a personal loan from any officer, employee, member, or consultant of the state or local government agency in which the elected officer holds office or over which the elected officer's agency has direction and control.

(B) No public official who is exempt from the state civil service system pursuant to subdivisions (c), (d), (e), (f), and (g) of Section 4 of Article VII of the Constitution shall, while he or she holds office, receive a personal loan from any officer, employee, member, or consultant of the state or local government agency in which the public official holds office or over which the public official's agency has direction and control. This subdivision shall not apply to loans made to a public official whose duties are solely secretarial, clerical, or manual.

(C) No elected officer of a state or local government agency shall, from the date of his or her election to office through the date that he or she vacates office, receive a personal loan from any person who has a contract with the state or local government agency to which that elected officer has been elected or over which that elected officer's agency has direction and

control. This subdivision shall not apply to loans made by banks or other financial institutions or to any indebtedness created as part of a retail installment or credit card transaction, if the loan is made or the indebtedness created in the lender's regular course of business on terms available to members of the public without regard to the elected officer's official status.

(D) No public official who is exempt from the state civil service system pursuant to subdivisions (c), (d), (e), (f), and (g) of Section 4 of Article VII of the Constitution shall, while he or she holds office, receive a personal loan from any person who has a contract with the state or local government agency to which that elected officer has been elected or over which that elected officer's agency has direction and control. This subdivision shall not apply to loans made by banks or other financial institutions or to any indebtedness created as part of a retail installment or credit card transaction, if the loan is made or the indebtedness created in the lender's regular course of business on terms available to members of the public without regard to the elected officer's official status. This subdivision shall not apply to loans made to a public official whose duties are solely secretarial, clerical, or manual.

(E) This section shall not apply to the following:

1. Loans made to the campaign committee of an elected officer or candidate for elective office.

2. Loans made by a public official's spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin, or the spouse of any such persons, provided that the person making the loan is not acting as an agent or intermediary for any person not otherwise exempted under this section.

3. Loans from a person which, in the aggregate, do not exceed five hundred dollars (\$500) at any given time.

4. Loans made, or offered in writing, before January 1, 1998.

(8.3) Section 8.3. Loan Terms.



(A) Except as set forth in subdivision (B), no elected officer of a state or local government agency shall, from the date of his or her election to office through the date he or she vacates office, receive a personal loan of five hundred dollars (\$500) or more, except when the loan is in writing and clearly states the terms of the loan, including the parties to the loan agreement, date of the loan, amount of the loan, term of the loan, date or dates when payments shall be due on the loan and the amount of the payments, and the rate of interest paid on the loan.

(B) This section shall not apply to the following types of loans:

1. Loans made to the campaign committee of the elected officer.

2. Loans made to the elected officer by his or her spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin, or the spouse of any such person, provided that the person making the loan is not acting as an agent or intermediary for any person not otherwise exempted under this section.

3. Loans made, or offered in writing, before January 1, 1998.

(C) Nothing in this section shall exempt any person from any other provision of Title 9 of the Government Code.

(8.4) Section 8.4. Personal Loans.

(A) Except as set forth in subdivision (8), a personal loan received by any designated employee shall become a gift to the designated employee for the purposes of this section in the following circumstances:

1. If the loan has a defined date or dates for repayment, when the statute of limitations for filing an action for default has expired.

2. If the loan has no defined date or dates for repayment, when one year has elapsed from the later of the following:

a. The date the loan was made.

b. The date the last payment of one hundred dollars (\$100) or more was made on the \_\_\_\_\_ loan.

c. The date upon which the debtor has made payments on the loan aggregating to less \_\_\_\_\_ than two hundred fifty dollars (\$250) during the previous 12 months.

(B) This section shall not apply to the following types of loans:

1. A loan made to the campaign committee of an elected officer or a candidate for \_\_\_\_\_ elective office.

2. A loan that would otherwise not be a gift as defined in this title.

3. A loan that would otherwise be a gift as set forth under subdivision (A), but on which \_\_\_\_\_ the creditor has taken reasonable action to collect the balance due.

4. A loan that would otherwise be a gift as set forth under subdivision (A), but on which \_\_\_\_\_ the creditor, based on reasonable business considerations, has not undertaken collection \_\_\_\_\_ action. Except in a criminal action, a creditor who claims that a loan is not a gift on the basis of \_\_\_\_\_ this paragraph has the burden of proving that the decision for not taking collection action was \_\_\_\_\_ based on reasonable business considerations.

5. A loan made to a debtor who has filed for bankruptcy and the loan is ultimately \_\_\_\_\_ discharged in bankruptcy.

(C) Nothing in this section shall exempt any person from any other provisions of Title 9 of the Government Code.

(9) Section 9. Disqualification.

No designated employee shall make, participate in making, or in any way attempt to use his or her official position to influence the making of any governmental decision which he or she knows or has reason to know will have a reasonably foreseeable material financial effect, distinguishable from its effect on the public generally, on the official or a member of his or her

immediate family or on:

(A) Any business entity in which the designated employee has a direct or indirect investment worth two thousand dollars (\$2,000) or more;

(B) Any real property in which the designated employee has a direct or indirect interest worth two thousand dollars (\$2,000) or more;

(C) Any source of income, other than gifts and other than loans by a commercial lending institution in the regular course of business on terms available to the public without regard to official status, aggregating five hundred dollars (\$500) or more in value provided to, received by or promised to the designated employee within 12 months prior to the time when the decision is made;

(D) Any business entity in which the designated employee is a director, officer, partner, trustee, employee, or holds any position of management; or

(E) Any donor of, or any intermediary or agent for a donor of, a gift or gifts aggregating ~~\$420-460~~ or more provided to, received by, or promised to the designated employee within 12 months prior to the time when the decision is made.

(9.31) Section 9.31. Legally Required Participation.

No designated employee shall be prevented from making or participating in the making of any decision to the extent his or her participation is legally required for the decision to be made. The fact that the vote of a designated employee who is on a voting body is needed to break a tie does not make his or her participation legally required for purposes of this section.

(9.25) Section 9.25. Disqualification of State Officers and Employees.

In addition to the general disqualification provisions of section 9, no state administrative official shall make, participate in making, or use his or her official position to influence any governmental decision directly relating to any contract where the state administrative official knows or has reason to know that any party to the contract is a person with whom the state

administrative official, or any member of his or her immediate family has, within 12 months prior to the time when the official action is to be taken:

(A) Engaged in a business transaction or transactions on terms not available to \_\_\_\_\_ members of the public, regarding any investment or interest in real property; or

(B) Engaged in a business transaction or transactions on terms not available to \_\_\_\_\_ members of the public regarding the rendering of goods or services totaling in value one \_\_\_\_\_ thousand dollars (\$1,000) or more.

(10) Section 10. Disclosure of Disqualifying Interest.

When a designated employee determines that he or she should not make a governmental decision because he or she has a disqualifying interest in it, the determination not to act may be accompanied by disclosure of the disqualifying interest.

(11) Section 11. Assistance of the Commission and Counsel.

Any designated employee who is unsure of his or her duties under this code may request assistance from the Fair Political Practices Commission pursuant to Government Code section 83114 and 2 Cal. Code Regs. Sections 18329 and 18329.5 or from the attorney for his or her agency, provided that nothing in this section requires the attorney for the Agency to issue any formal or informal opinion.

(12) Section 12. Violations.

This code has the force and effect of law. Designated employees violating any provision of this code are subject to the administrative, criminal and civil sanctions provided in the Political Reform Act, Government Code sections 81000-91014. In addition, a decision in relation to which a violation of the disqualification provisions of this code or of Government Code section 87100 or 87450 has occurred may be set aside as void pursuant to Government Code section 91003.

1 Designated employees who are required to file statements of economic interests under any

other Agency's conflict of interest code, or under article 2 for a different jurisdiction, may expand their statement of economic interests to cover reportable interests in both jurisdictions, and file copies of this expanded statement with both entities in lieu of filing separate and distinct statements, provided that each copy of such expanded statement filed in place of an original is signed and verified by the designated employee as if it were an original. See Government Code section 81004.

2. See Government Code section 81010 and 2 Cal. Code of Regs. Section 18115 for the duties of filing officers and persons in agencies who make and retain copies of statements and forward the originals to the filing officer.

3. For the purpose of disclosure only (not disqualification), an interest in real property does not include the principal residence of the filer.

4. Investments and interests in real property which have a fair market value of less than \$2,000 are not investments and interests in real property within the meaning of the Political Reform Act. However, investments or interests in real property of an individual include those held by the individual's spouse and dependent children as well as a pro rata share of any investment or interest in real property of any business entity or trust in which the individual, spouse and dependent children own, in the aggregate, a direct, indirect or beneficial interest of 10 percent or greater.

5. A designated employee's income includes his or her community property interest in the income of his or her spouse but does not include salary or reimbursement for expenses received from a state, local or federal government agency.

6. Income of a business entity is reportable if the direct, indirect or beneficial interest of the filer and the filer's spouse in the business entity aggregates a 10 percent or greater interest. In addition, the disclosure of persons who are clients or customers of a business entity is required only if the clients or customers are within one of the disclosure categories of the filer.

Note: Authority cited: Section 83112, Government Code. Reference: Sections 87103(e), 87300-87302, 89501, 89502 and 89503, Government Code.

## HISTORY

1. New section filed 4-2-80 as an emergency; effective upon filing (Register 80, No. 14).  
Certificate of Compliance included.
2. Editorial correction (Register 80, No. 29).
3. Amendment of subsection (b) filed 1-9-81; effective thirtieth day thereafter  
(Register 81, No.2).
4. Amendment of subsection (b) (7) (8) 1. filed 1-26-83; effective thirtieth day thereafter  
(Register 83, No.5).
5. Amendment of subsection (b )(7) (A) filed 11-10-83; effective thirtieth day thereafter  
(Register 83, No. 46).
6. Amendment filed 4-13-87; operative 5-13-87 (Register 87, No. 16).
7. Amendment of subsection (b) filed 10-21-88; operative 11-20-88 (Register 88, No. 46).
8. Amendment of subsections (b )(8)(A) and (b )(8)(8) and numerous editorial changes filed  
8-28-90; operative 9-27-90 (Reg. 90, No. 42).
9. Amendment of subsections (b)(3), (b)(8) and renumbering of following subsections and  
amendment of Note filed 8-7-92; operative 9-7-92 (Register 92, No. 32).
10. Amendment of subsection (b)(5.5) and new subsections (b)(5.5)(A)-(A)(2) filed 2-4-93;  
operative 2-4-93 (Register 93, No.6).
11. Change without regulatory effect adopting Conflict of Interest Code for California Mental  
Health Planning Council filed 11-22-93 pursuant to title1, section 1 ~O, California Code of  
Regulations (Register 93, No. 48). Approved by Fair Political Practices Commission 9-21-93.
12. Change without regulatory effect redesignating Conflict of Interest Code for California  
Mental Health Planning Council as chapter 62, section 55100 filed 1-4-94 pursuant to title 1,  
section 100, California Code of Regulations (Register 94, No.1).
13. Editorial correction adding History11 and 12 and deleting duplicate section number

(Register 94, No. 17).

14. Amendment of subsection (b)(8), designation of subsection (b)(S)(A), new subsection (b)(S)(8), and amendment of subsections (b)(8.1)-(b)(8.1)(8), (b)(9)(E) and Note filed 3-14-95; operative 3-14-95 pursuant to Government Code section 11343.4(d) (Register 95, No. 11).

15. Editorial correction inserting inadvertently omitted language in footnote 4 (Register 96, No. 13).

16. Amendment of subsections (b)(S)(A)-(8) and (b)(8.1)(A), repealer of subsection (b)(8.1)(8), and amendment of subsection (b)(12) filed 10-23-96; operative 10-23-96 pursuant to Government Code section 11343.4(d) (Register 96, No. 43).

17. Amendment of subsections (b)(8.1) and (9)(E) filed 4-9-97; operative 4-9-97 pursuant to Government Code section 11343.4(d) (Register 97, No. 15).

18. Amendment of subsections (b)(7)(8)5., new subsections (b)(8.2)-(b)(8.4)(C) and amendment of Note filed 8-24-98; operative 8-24-98 pursuant to Government Code section 11343.4(d) (Register 98, No. 35).

19. Editorial correction of subsection (a) (Register 98, No. 47).

20. Amendment of subsections (b)(8.1), (b)(S.1)(A) and (b)(9)(E) filed 5-11-99; operative 5-11-99 pursuant to Government Code section 11343.4(d) (Register 99, No. 20).

21. Amendment of subsections (b)(8.1)-(b)(S.1)(A) and (b)(9)(E) filed 12-6-2000; operative 1-1-2001 pursuant to the 1974 version of Government Code section 11380.2 and Title 2, California Code of Regulations, section 18312(d) and (e) (Register 2000, No. 49).

22. Amendment of ~~sub~~ subsections (b)(3) and (b)(10) filed 1-10-2001; operative 2-1-2001.

Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, ~~nonpublished-unpublished~~ decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements) (Register 2001, No.2).



23. Amendment of subsections (b)(7)(A)4., (b)(7)(8)1.-2., (b)(8.2)(E)3., (b)(9)(A)-(C) and footnote 4 filed 2-13-2001. Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, [nonpublished-unpublished](#) decision, April 27, 1992 (FPPC regulations only subject to 1974

Administrative Procedure Act rulemaking requirements) (Register 2001, No.7).

24. Amendment of subsections (b)(B.1)-(b)(B.1)(A) filed 1-16-2003; operative 1-1-2003.

Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, [nonpublished-unpublished](#) decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements) (Register 2003, No.3).

25. Editorial correction of History24 (Register 2003, No. 12).

26. Editorial correction removing extraneous phrase in subsection (b)(9.5)(B) (Register 2004, No. 33).

27. Amendment of subsections (b)(2)-(3), (b)(3)(C), (b)(6)(C), (b)(8.1)-(b)(B.1)(A), (b)(9)(E) and (b)(11)-(12) filed 1-4-2005; operative 1-1-2005 pursuant to Government Code section 11343.4 (Register 2005, No.1).

28. Amendment of [Sub](#)section (b)(7)(A)4. filed 10-11-2005; operative 11-10-2005 (Register 2005, No. 41).

29. Amendment of subsections (a), (b)(1), (b)(3), (b)(B.1), (b)(B.1)(A) and (b)(9)(E) filed 12-1B-2006; operative 1-1-2007. Submitted to OAL pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, [nonpublished-unpublished](#) decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements) (Register 2006, No. 51).

30. Amendment of [Sub](#)sections (b)(B.1)-(b)(B.1)(A) and (b)(9)(E) filed 10-31-2008; operative

11-30-2008. Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, ~~nonpublished-unpublished~~ decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 200B, No. 44).

<b>Designated position</b>	<b>Change</b>	<b>Disclosure Category</b>
AGENCY CLERK	No change	1
AGENCY COUNSEL	No change	1
ASSISTANT AGENCY CLERK	No longer required for this position	
ASSISTANT DIRECTOR OF HOUSING AND COMMUNITY DEVELOPMENT	Change title to Assistant Director	1
ASSISTANT DIRECTOR OF HOUSING CHOICE VOUCHER	Change title to Assistant Director	1
ASSISTANT DIRECTOR OF REAL ESTATE AND CONSTRUCTION SERVICES	Change title to Assistant Director	1
ASSISTANT SITE MANAGER	Remove – title no longer used	3
BUYER	Remove – title no longer used	4
COMMUNITY DEVELOPMENT ANALYST 1, 2, or 3	Newly added position	2
COMPLIANCE/PROCUREMENT ANALYST	No change	1
CONSTRUCTION TECHNICIAN	No change	3
DEPUTY EXECUTIVE DIRECTOR	No change	1
DESIGN AND CONSTRUCTION SERVICES MANAGER	Change title to Program Manager	1
DIRECTOR OF DEVELOPMENT	Change title to Director	1
DIRECTOR OF HOUSING AUTHORITY	Change title to Director	1
DIRECTOR	Add new title/classification	1
EXECUTIVE DIRECTOR	No change	1
ENVIRONMENTAL ANALYST	Change title to Management Analyst	1
FINANCE DIRECTOR	Change title to Director	1
FINANCE MANAGER	Change title to Program Manager	1
GENERAL COUNSEL	No change	1

<b>Designated position</b>	<b>Change</b>	<b>Disclosure Category</b>
HOMEOWNERSHIP SERVICES SUPERVISOR	Change title to Supervisor	3
HOUSING ASSISTANT –HCV	No longer required for this position	3
HOUSING PROGRAM – SPECIALIST - HCV	No change	3
HOUSING PROGRAM SPECICIALIST - HSG	No change	3
HOUSING AUTHORITY MANAGER - HCV	Change title to Program Manager	1
HOUSING AUTHORITY MANAGER - CNV	Change title to Program Manager	1
HOUSING AUTHORITY ANALYST - HCV	No change	3
HOUSING AUTHORITY ANALYST - HSG	No change	3
HOUSING AUTHORITY SPECIALIST - HCV	Remove – title no longer used	3
HOUSING AUTHORITY SPECIALIST - HSG	Remove – title no longer used	3
HOUSING AUTHORITY SUPERVISOR - HCV	Change title to Supervisor	3
HOUSING AUTHORITY SUPERVISOR - HSG	Change title to Supervisor	3
HOUSING FINANCE ANALYST - RANGE 1	Change to Housing Finance Analyst 1, 2 or 3	2
HOUSING FINANCE ANALYST - RANGE 2	Change to Housing Finance Analyst 1, 2 or 3	2
HOUSING FINANCE ANALYST - RANGE 3	Change to Housing Finance Analyst 1, 2 or 3	2
HOUSING PROGRAM TECHNICIAN 1	No Change	3
HOUSING PROGRAM TECHNICIAN 2	No Change	3
HUMAN RESOURCES MANAGER	Change title to Program Manager	1
IMTS MANAGER	No Change	1
IT APP/DEV SPECIALIST	Newly added position	4
IT NETWORK/MIDRANGE	No Change	4

<b>Designated position</b>	<b>Change</b>	<b>Disclosure Category</b>
SPECIALIST		
LOAN ADMINSTRATOR	Newly added position	2
LOAN SERVICING ANALYST	Newly added position	2
MANAGEMENT ANALYST	No Change	1
MAINTENANCE LEAD	Newly added position	3
MAINTENANCE SPECIALIST – STATIONERY ENGINEER	Newly added position	3
MAINTENANCE SPECIALIST – FIRE AND LIFE SAFETY	Newly added position	3
PRINCIPAL CONSTRUCTION ARCHITECT/CONSTRUCTION ENGINEER	Change - Combine with Construction Engineer	2
PRINCIPAL CONSTRUCTION TECHNICIAN	No Change	2
PRINCIPAL HOUSING AUTHORITY ANALYST - HCV	No Change	3
PRINCIPAL HOUSING AUTHORITY ANALYST - HSG	No change	3
PRINCIPAL LOAN PROCESSING ANALYST	Newly added position	2
PRINCIPAL PROCUREMENT SERVICES ANALYST	Remove – title no longer used	1
PRINCIPAL REGULATORY COMPLIANCE ANALYST	No change	2
PROCUREMENT SERVICES SUPERVISOR	Change title to Supervisor	2
PROGRAM MANAGER, COMMUNITY/SOCIAL SERVICES	Change title to Program Manager	1
PROGRAM MANAGER- PORTFOLIO MANAGEMENT	Change title to Program Manager	1
PROGRAM INTEGRITY ANALYST	Newly added position	2
PROJECT MANAGER	No Change	1
PUBLIC INFORMATION OFFICER	No Change	1
REAL ESTATE COORDINATOR	Newly added position	1
REAL ESTATE MANAGER	Change title to Program Manager	1

<b>Designated position</b>	<b>Change</b>	<b>Disclosure Category</b>
REAL ESTATE MANAGER LEVEL 2	Change title to Program Manager	1
REAL ESTATE SPECIALIST	No longer required for this position	3
REDEVELOPMENT ANALYST RANGE 1	Change to Redevelopment Analyst 1, 2 or 3	3
REDEVELOPMENT ANALYST RANGE 2	Change to Redevelopment Analyst 1, 2 or 3	3
REDEVELOPMENT ANALYST RANGE 3	Change to Redevelopment Analyst 1, 2 or 3	3
REDEVELOPMENT MANAGER	Change to Program Manager	1
REDEVELOPMENT PLANNER - RANGE 1	Change to Redevelopment Planner 1, 2 or 3	2
REDEVELOPMENT PLANNER - RANGE 2	Change to Redevelopment Planner 1, 2 or 3	2
REDEVELOPMENT PLANNER - RANGE 3	Change to Redevelopment Planner 1, 2 or 3	2
REDEVELOPMENT SPECIALIST 1, 2, OR 3	Newly added position	2
REGULATORY COMPLIANCE ANALYST	No change	2
RISK SERVICES MANAGER	Change to Management Analyst	1
SENIOR HR ANALYST	Newly added position	1
SITE MANAGER I/II	Add level I/II information	2
SHRA COMMISSION MEMBERS	No change	1
LOAN COMMITTEE MEMBERS	No change	1
CONSULTANTS/NEW POSITIONS	No change	1

